

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Carlo Finzer et al. Group Art Unit: --  
Appln. No.: -- Examiner: --  
Filed: Herewith Docket No.460868.90215DIVII  
For: SELF-LOCKING SCREW CONNECTION

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**INFORMATION DISCLOSURE STATEMENT**

**PURSUANT TO 37 C.F.R. §§1.97 and 1.98**

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Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450


Sir:

The enclosed documents are being submitted in compliance with 37 C.F.R. 1.97 and 1.98. A form PTO-1449 "Information Disclosure Statement by Applicant" is attached which lists the references cited. Copies of the cited references may be found in the parent case, U.S. Patent Application No. 10/228,118 filed on August 26, 2002.

No additional fees for filing this paper are believed to be due. However, the Commissioner is hereby authorized to charge any additional fees due or to credit any overpayment to Deposit Account No. 17-0055.

Respectfully submitted,

Dated: 3/10/04

By:   
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Substitute for form 1449/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

## **Complete if Known**

Application Number	
Filing Date	
First Named Inventor	Carlo Finzer
Art Unit	
Examiner Name	
Attorney Docket Number	460868.90215DIV

Sheet 1 of 2

## **U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 4,140,358	02-20-1979	Marechal	
		US- 4,500,153	02-19-1985	Mattingly, Jr. et al.	
		US- 4,686,738	08-18-1987	Bladh	
		US- 5,135,416	08-04-1996	Hass et al.	
		US- 5,139,380	08-18-1992	Reynolds	
		US- 5,496,194	03-05-1996	Huss, Jr.	
		US- 5,931,695	08-03-1999	Scully et al.	
		US- 6,259,033	07-10-2001	Kassulat	
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## **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		EP 0 903 813 A	03-24-1999	Sumitomo Wiring Systems		
		GB 2 033 174 A	05-14-1980	Bendix Corp.		
		EP 1 065 426 A	01-03-2001	Hummel Anton Verwaltung		
		DE 44 00 847 A1	07-20-1995	Karl Fischer		
		DE 94 02 108 U1	07-20-1995	Eder et al.		
		DE 295 04 754 U1	09-07-1995	Landis & Gyr Tech. Innovation		

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

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*(Use as many sheets as necessary)*

Application Number	
Filing Date	
First Named Inventor	Carlo Finzer
Art Unit	
Examiner Name	
Attorney Docket Number	460868 90215DIV

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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> ~Number <sup>4</sup> ~Kind Code <sup>5</sup> (if known)				
		GB 22 61 775 A	05-26-1993	Kingston		
		DE 199 30 044 A	01-18-2001	Neumann		

Date  
Considered

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